

REQUEST FOR PROPOSAL (RFP)

PANEL FOR BUILT ENVIRONMENT PROFESSIONALS / PROJECT, CONSTRUCTION, CONTRACT
MANAGERS AND CONTRACTOR DEVELOPERS FOR A PERIOD OF FIVE YEARS

BRIEFING MEETING: 09 DECEMBER 2024 AT 11H00 ON MS TEAMS

CLOSING DATE: 17 December 2024 AT 12H00







1. WHO WE ARE

SIOC Community Development Trust (SIOC-CDT or the Trust) was established in 2006 by Sishen Iron Ore Company SIOC (PTY) Ltd (owned by Kumba Iron Ore (KIO) (PTY) Ltd) to invest in the development of the communities in which SIOC (PTY) Ltd operates. The Trust therefore focuses exclusively on beneficiary communities adjacent to the SIOC (PTY) Ltd mining activities in the Northern Cape and the Limpopo Province, within the following municipal jurisdictions:

- Gamagara Local Municipality, Northern Cape;
- Ga-Segonyana Local Municipality, Northern Cape;
- Tsantsabane Local Municipality, Northern Cape;
- Joe Morolong Local Municipality, Northern Cape; and
- Thabazimbi Local Municipality, Limpopo.

2. **MANDATE**

The Trust's mandate is to invest and disburse funds on behalf of its beneficiary communities in accordance with the guidelines as provided by the Board of Trustees and prescribed by the SIOC-CDT Trust Deed. This is achieved in accordance with the Trust's Community Development Strategy that identifies four main priorities for community investment. Its primary priorities relate to employability, entrepreneurship, health and wellness as well as emergent needs, which include infrastructure.

3. VISION

Happy thriving communities that feel heard and where everyone is treated with dignity.

4. **MISSION**

Implement sustainable socio-economic initiatives through partnering with key stakeholders.

5. **VALUES**

- We are committed to building healthy trustworthy relationships;
- We are **inclusive and transparent** in decision making;
- We are **accountable** to our stakeholders; and
- We are **compassionate** towards our beneficiaries.

6. **BACKGROUND OF SIOC-CDT CONSTRUCTION PORTFOLIO**

The infrastructure portfolio is a function that serves core portfolios of education, enterprise development as well as health and wellness. Emergent needs include infrastructure (new, repair, repurpose, upgrades and / or maintain and operate) in educational, healthcare and other infrastructure categories in support of government's mandate in the Trust's beneficiary communities. Over the years, infrastructure requirements were identified and submitted to an inhouse Infrastructure Programme Manager, who would initiate, plan and oversee execution and close-out projects, on behalf of core Programme Managers. Due to increased



demand of infrastructure projects and the need to clear backlogs of legacy projects, the current structure and resourcing model is insufficient. In addition, SIOC-CDT wants to introduce a category of infrastructure that will aspire to become fully "local", using local people and local contractors, through transparent and competitive bid windows and selection processes. Consequently, SIOC-CDT wants to adopt an agile and cost-effective model that involves experienced built environment professionals and individuals specialising in contractor development, to develop needs that have been identified at grassroots level, into fully-fledged tender packs that can be issued to local contractors and local employment. Both consulting companies and registered professional individuals can apply to become part of our expert technical panel for this development work. All elements of technical professional services required in the built environment are required (planners, architects, quantity surveyors, engineers in all relevant disciplines, contract developers, etc.)

This model will enable the Trust to initiate multiple infrastructure projects with the assistance from a panel of highly skilled and experienced companies and individuals, enabling us to deploy qualified and experienced personnel as and when required. This will allow the full-time resources of the Trust to focus on its core business of providing key social support, creating employability and promoting entrepreneurship.

SIOC-CDT hereby invites experienced and capable, professionally registered built environment professionals and consulting companies, project & construction managers as well as contractor developers, to form part of its database and panel that will work all aspects of the project life cycle - conceptualising, planning, design and execution of infrastructure projects within the education (e.g. classroom blocks, computer labs, sporting facilities etc.), health (e.g. clinics, hospitals etc.) and social welfare (e.g. housing, water, roads etc.) and related sectors in support of the core mandate of the Trust.

All projects will be executed within the five beneficiary communities based in the Northern Cape and Limpopo province and all applicants will therefore be required to work extensively in these municipal areas. Experience of having worked in these areas or a commitment to do so, is essential. A proven track record will be an advantage.

6.1 Scope of work for professionally registered built environment professionals and consulting companies, project & construction managers as well as contractor developers for a period of five years in SIOC-CDT projects.

The SIOC-CDT infrastructure delivery framework requires that each proposed project undergoes a rigorous feasibility study and due diligence phase that confirm the need, planning requirements such a permits and licences, project scope, budget, life cycle costs and skills requirements.

For the grassroots infrastructure category, we expect community members to indicate needs that have to be reworked into project concepts. A system for evaluating these concepts will be developed before the launch of this category. For the technical expert panel, we will require all the professional services needed to take such infrastructure needs and concepts to fully-fledged tender packs.



The work of the technical panel may therefore include all stages of projects planning and execution, as well as technical training of local contractors to implement these projects. Applicants are requested to propose suitable implementation models, accordingly, indicating where and how the technical panel can be deployed in the cost-effective manner. The scope of work will include support for all planning and execution phases of infrastructure projects. In particular, more details are given for the scope of work during procurement, contract administration, supervision and inspection as well as project closeout.

6.1.1 **Procurement**

- Assist in preparation of tender documents as well as the most suitable contracting model and contract formats.
- Select, recommend and agree on the procurement strategy for appointing a lead local contractor, local sub-contractors and local suppliers with the Trust and consultants (where applicable, but a specific requirement for the grassroots infrastructure category).
- Prepare and agree the project procurement programme.
- Facilitate and monitor the preparation by the Health and Safety Consultant of the Health and Safety specification for the project.
- Participate in and / or manage a transparent tender process in accordance with agreed procedures, including calling for tenders, adjudication of tenders, and recommendation of appropriate contractors for approval by the Trust.
- Advise the Trust, in conjunction with other consultants (where applicable), on the appropriate insurances required for the implementation of the projects.
- Monitor the reconciliation of the tender prices with the project budget, by the cost consultants (where applicable).
- Agree the format and procedures for monitoring and control of the cost of the works, by the cost consultants (where applicable).
- Facilitate Trust approval of the tender recommendation/s.
- For procurement, typical deliverables include:
 - Contractors, subcontractors, and suppliers Procurement Strategy as well as development needs and/or programmes (grassroots infrastructure category)
 - Project Procurement Programme
 - Approval by Client of tender recommendation(s).
 - Record of all meetings

6.1.2 Contract Administration, Supervision and Inspection

- Establish and co-ordinate the formal and informal communication structures and procedures for the planning as well as construction process, including social facilitation, community and other stakeholder dynamics (including business forums) and their constructive involvement.
- Regularly conduct and record the necessary meetings.
- Monitor, review and approve the preparation of the contract programme by the contractor.
- Regularly monitor the performance of the contractor against the contract programme.



- Review and adjudicate circumstances and entitlements that may arise from any changes required to the contract programme.
- Monitor the preparation of the contractor's Health and Safety Plan and approval thereof by the Health and Safety consultant.
- Monitor the compliance by the Contractors of the requirements of the Health and Safety Consultant.
- Establish the construction information distribution procedures.
- Agree and monitor the construction documentation schedule for timeous delivery of required information to the Contractors.
- Expedite, review and monitor the timeous issue of construction information to the Contractors.
- Manage the review and approval of all necessary shop details and product proprietary information by the design consultants (where applicable).
- Establish procedures for monitoring, controlling and agreeing all scope and cost variations.
- Agree the quality assurance procedures and monitor the implementation thereof by the consultants (where applicable) and Contractors.
- Monitor, review, approve and certify monthly progress payments.
- Receive, review and adjudicate any contractual claims.
- Monitor the preparation of monthly cost reports by the cost consultants (where applicable).
- Monitor lead-times and off-site production by the Contractors and suppliers.
- Prepare monthly project reports and submit to the Trust.
- Manage, co-ordinate and monitor all necessary testing and commissioning by consultants and/or testing laboratories (where applicable) and Contractors.
- Co-ordinate, monitor and issue the practical completion lists and certificate of practical completion.
- Co-ordinate and monitor the preparation and issue of the Works Completion List by the consultants (where applicable) to the Contractors.
- Monitor the execution by the Contractors of the defects items to achieve Works Completion.
- Monitor the process of recording as-built information by the Contractor to allow the Design Consultants to submit As-Built design drawings.
- Facilitate and co-ordinate adequate access with the occupant for the rectification of defects by the Contractors.
- Minimum deliverables required include:
 - Signed Contractor(s) Agreements;
 - Agreed Contract Programme;
 - Adjudication and award of contractual claims;
 - Construction Documentation Schedule;
 - Monthly progress payment certificates;
 - Monthly project progress reports;
 - Record of all meetings; and
 - Certificates of Practical Completion.
 - Full time or part time supervision as may be required and agreed



6.1.3 **Project Close Out**

- Issue the Works Completion Certificate.
- Manage, coordinate and expedite the preparation by the design consultants (where applicable) of all as-built drawings and design documentation.
- Manage and expedite the procurement of all operating and maintenance manuals as well as warranties and guarantees.
- Manage and expedite the procurement of all statutory compliance certificates and documentation.
- Manage the finalization of the Health and Safety File for submission to the Trust.
- Co-ordinate, monitor and manage the rectification of defects during the Defects Liability Period.
- Manage, co-ordinate and expedite the preparation and agreement of the final account by the cost consultants (where applicable) with relevant EPC contractors.
- Co-ordinate, monitor and issue the Final Completion defects list and Certificate of Final Completion.
- Prepare and present Project Closeout Report.
- Typical deliverables include:
 - Works Completion Certificate;
 - Certificate of Final Completion;
 - o Record of all meetings; and
 - Project closeout report.
 - As-Built Design Drawings

7. ASSESSMENT/EVALUATION CRITERIA

7.1 Pre-Qualifying Criteria

- 7.1.1 Only bidders who have the following inhouse expertise or are able to provide supporting evidence of partner agreements with other firms or individuals that will be providing the services, not available inhouse, when required, will be assessed:
 - a) Valid Tax Pin an/or Tax Clearance Certificate
 - b) Valid South African Council for the Project and Construction Management Professions (SACPCMP) registration and
 - c) Valid South African Council for the Quantity Surveying Profession (SACQSP) registration and
 - d) Valid South African Council for the Architectural Profession registration (SACAP) and
 - e) South African Institute of Occupational Safety and Health (SAIOSH) or equivalent and
 - f) South African Institute of Electrical Engineers (SAIEE) and
 - g) The South African Institution of Civil Engineering (SAICE). This is compulsory for the Lead and Senior Project Managers and
 - h) Minimum qualification of NQF 7 in Civil Engineering and/or Project Management for Lead and Senior Project Managers and
 - i) Minimum of 15 years and 10 years of relevant experience for Lead and Senior Project Managers.



7.2 Technical Evaluation

- 7.2.1 In order to facilitate a transparent selection process that allows for an equal opportunity to all bidders, the Trust has a Supply Chain Management policy that will be adhered to. Proposals will be technically evaluated in terms of the prevailing Supply Chain Management policy applicable to the Trust, from time to time.
- 7.2.2 Bidders must demonstrate an understanding of the scope of work required.
- 7.2.3 Bidders must score a minimum of **70 out of 100 points** on the assessment/evaluation criteria to be recommended as a preferred service provider as provided on the table below.

Table 1 - Technical Evaluation Criteria

CRITERIA	SUB-CRITERIA	WEIGHTING/ POINTS
Organisational and Individual Capacity and Capability to	Demonstrated track record of delivering similar projects in similar contexts including overseeing work of Contractors and various other consultants (where applicable) of multiple disciplines. Please provide completion certificates or appointment letters as supporting evidence. 5 or more certificates/ appointment letters = 30 points 4 certificates/letters = 25 points 3 certificates/letters = 15 points 2 certificates/letters = 10 points 1 or less certificate = 0 points	30
Deliver the Project	Provide contactable references on company letterhead for previous similar projects not older than seven years. 5 or more references – 20 points 4 references – 10 points 3 references – 5 points 2 reference – 2 points 1 reference – 1 point Zero references – 0 points	20
Capability and Experience of Proposed Team or professionally registered individual	Lead Project Manager: If no proof of a valid qualification or professional registration certificate for individuals as required is provided, this criterion will be scored as zero. Experience Less than 5 years' post qualification experience – zero points 5 years' and up to less than 10 years' post qualification experience – 10 points 10 years' and up to less than 15 years' post qualification experience – 14 points 15 years or more post qualification experience – 20 points	20
	Senior Project Manager: If no proof of a valid qualification and / or professional registration certificate for individuals as required is provided, this criterion will be scored as zero.	20



CRITERIA	SUB-CRITERIA	WEIGHTING/ POINTS
	Minimum 10 years' experience	
	Less than 3 years' post qualification experience – zero points	
	3 years and up to less than 5 years' post qualification experience – 5	
	points	
	5 years and up to less than 10 years' post qualification experience – 10	
	points	
	10 years or more post qualification experience – 20 points	
	Professional Architect:	
	Less than 5 years' post qualification experience – zero points	
	5 years' and up to less than 10 years' post qualification experience – 1	2
	point	_
	10 years or more post qualification experience – 2 points	
	Quantity Surveyor:	
	Less than 5 years' post qualification experience – zero points	
	5 years' and up to less than 10 years' post qualification experience – 1	2
	point	
	10 years or more post qualification experience – 2 points	
	Health and safety consultant:	
	Less than 5 years' post experience – zero points	
	5 years' and up to less than 10 years' post qualification experience – 1	2
	point 2	
	10 years or more post qualification experience – 2 points	
	Electrical Engineer:	
	Less than 5 years' post qualification experience – 0 points	
	5 years' and up to less than 10 years' post qualification experience – 1	2
	point	
	10 years or more post qualification experience – 2 points	
	Relevant Design Engineer:	
	Less than 5 years' post qualification experience – 0 points	
	5 years' and up to less than 10 years' post qualification experience – 1	2
	point	
	10 years or more post qualification experience – 2 points	
	TOTAL	100

7.3 **Price and B-BBEE Evaluation Criteria**

In order to facilitate a transparent selection process that allows an equal opportunity to all bidders, the Trust has a Supply Chain Management policy that will be adhered to. As in respect of technical evaluation criteria, proposals will also be evaluated in accordance with B-BBEE evaluation criteria in terms of the prevailing Supply Chain Management policy applicable to the Trust, from time to time.



Table 2 - Price and B-BBEE

Criteria	Weightings (>R1M)
Price (see 10.1 below for guidance)	70
B-BBEE Scorecard (focusing on SMME and local talent development)	30
TOTAL	100

8. INSTRUCTIONS TO BIDDERS

- **8.1** Bidders are to ensure that they have adequate resources to undertake a project under stringent timeframes, in the aforesaid municipal jurisdictions. It is accordingly recorded that by submitting a bid, the bidder undertakes and warrants that it has and/or will have adequate capabilities, resources, skills and know-how to undertake and complete a project under the timeframes set out therefore once provided to the bidder.
- **8.2** Virtual briefing link: https://teams.microsoft.com/l/meetup-join/19%3ameeting YTMyNjk1NTYtMGQ4NC00YmY2LWEzYmItNTIIMzY2ZjQ3Mjc3%40threa d.v2/0?context=%7b%22Tid%22%3a%227e6df3d2-d17d-4599-86e2-997fb92a6c0f%22%2c%22Oid%22%3a%22bdc6f5de-4dd7-4213-b9f4-61b8d2832464%22%7d

9. PRICING

9.1 Bidders will be required to submit their pricing proposals which must be based on the rates table indicated below.

Table 1: List of rates

ITEM	DESCRIPTION	RATE/HR		
PERSONNEL				
1	Lead Project Manager			
2	Senior Project Manager			
3	Snr Design Engineer			
4	Jnr Design Engineer			
5	Draftsman			
6	Snr Architect			
7	Jnr Architect			
8	Snr Quantity Surveyor			
9	Jnr Quantity Surveyor			
10	Administrator			
11	Snr Construction/Contracts Manager			
12	Jnr Construction/Contracts Manager			
13	Snr Health and Safety Consultant			
14	Jnr Health and Safety Consultant			
15	Snr Town Planner			
16	Jnr Town Planner			
DISBURSEMENTS				
21	Accommodation (R/night)			



ITEM	DESCRIPTION	RATE/HR
22	Road Travel (R/km)	
23	Subsistence (R/day)	

- **9.2** Bidders shall in their pricing, also adhere to the Guideline Scope of Services and recommended guideline tariff of fees in terms of Project and Construction Management Professions Act.
- **9.3** Each stage of a project may require the bidder to bring in additional resources that will attract additional costs. Alternatively, SIOC-CDT may appoint another entity from the panel to work with the bidder in multi-disciplinary ways. Should the bidder prefer its own collaborators, such collaboration will be costed and associated costs shall be approved by the Trust, in the form of issuing of a task order, prior to execution.

At all times, bidders must ensure that the Trust's projects are executed free of corruption and at fair value, in a cost-effective way.

10. TERMS AND CONDITIONS

- 10.1 This invitation shall remain valid until the closing date, following which the invitation shall automatically lapse. The Trust is under no obligation to consider any proposals submitted after the closing date. The Trust reserves the right, only under exceptional circumstances, to extend the closing date for bidders to submit proposals.
- 10.2 The bidder acknowledges that this RFP constitutes an invitation to submit offers and that the submission of a proposal shall <u>not</u> constitute an offer to commence work on any future project. The Trust shall in its sole and absolute discretion, notwithstanding anything to the contrary herein contained, be entitled to make any offer to a winning bidder as determined by the Trust. Thereafter, on the date of acceptance of offer will a valid formal agreement be constituted between the Trust and the bidder in relation to any future project.
- 10.3 The selection of the qualifying bid (proposals) will be at the Trust's sole discretion and the Trust reserves the right not to make any appointment to the panel of professionally registered built environment professionals and consulting companies, project & construction managers as well as contractor developers and shall not necessarily be obliged to accept the offer of the highest scoring or most affordable quotation/proposal in accordance with the Supply Chain Management policy or otherwise.
- **10.4** The adjudication process does not represent a commitment on the part of the Trust to proceed further with that quotation/proposal of the bidder or of any other bidder.
- 10.5 The Trust reserves the right to make changes on this RFP document. All changes will be communicated to the bidders that have responded to this RFP. No reliance shall be placed on other information or comment from any other person.
- **10.6** The Trust is not required to provide reasons for the acceptance or rejection of any quotation/proposal and no correspondence pertaining to submissions will be entertained.



- **10.7** If the Trust does not accept any quotation/proposal, it will declare this RFP call process closed and may then elect to:
 - not to include any bidder on the proposed panel; and/or
 - proceed on a completely different basis.
- **10.8** All proposals and all subsequent information received from bidders will not be returned, nor shall the Trust be responsible for the safe keeping of submissions or the keeping of records or copies in relation thereto.
- **10.9** The Trust does not accept any responsibility for costs incurred by bidders in preparing and submitting proposals.
- **10.10** The Trust reserves the right to engage in any process to validate all submissions or claims made in the proposal.
- 10.11 In addition to the provisions of clause 12 below, it is recorded that SIOC-CDT reserves the right to reject a quotation/proposal or cancel the inclusion of the bidder to the panel of professionally registered built environment professionals and consulting companies, project & construction managers as well as contractor developers, if it is determined (in the sole discretion of SIOC-CDT) that the supplier/service provider recommended for inclusion onto the panel of professionally registered built environment professionals and consulting companies, project & construction managers as well as contractor developers, has engaged in or is associated in any way with corrupt or fraudulent activities, or the suspicion thereof (as defined in clause 12 below).
- **10.12** The Trust reserves its right and discretion at any time to:
- 10.12.1 conduct further due diligence activities on the preferred bidder;
- 10.12.2 require further information from bidder for clarification;
- 10.12.3 vary bid date and time specified in this RFP; and/or
- 10.12.4 reject a bidder who is under liquidation, business rescue, has criminal convictions, does not have necessary qualifications and poses risk on the Trust reputation.
- 10.12.5 cancel any award or bid it suspects of corruption or fraud.

11. CORRUPT AND FRAUDULENT ACTIVITIES

- 11.1 A key to the investment strategy of the Trust are the principles of transparency and ethics in its dealings with service providers and suppliers, to guard not only against reputational harm but also the tainting of the projects undertaken in accordance with the mission and objectives of the Trust.
- **11.2** Accordingly, the bidder, by submitting a proposal/quotation to the Trust hereby warrants and undertakes that the bidder and its current and former officers and employees:



- 11.2.1 have not been found guilty of any criminal offence involving fraud, theft and/or corruption or any other fraudulent practice, which for purposes hereof shall include a misrepresentation of facts in order to influence the selection process or the execution of a contract or collusive practices among bidders/contractors (prior to or after submission of proposals) designed to establish prices at artificial, non-competitive levels and contrary to the competition laws of the Republic of South Africa (collectively "Corrupt and Fraudulent Activities"); and
- have at all times complied with all applicable anti-bribery and anti-corruption laws (including any which have extra-territorial effect) and codes of practice ("Anti-Corruption Laws").
- 11.3 To the best of the bidder's knowledge and belief, each agent, representative or third-party that is or was authorised to act on behalf of the bidder ("Business Intermediary") has conducted its business relating to the bidder and past projects in compliance with all Anti-Corruption Laws.
- 11.4 The bidder has instituted and maintained appropriate policies and procedures designed to ensure, and which are reasonably expected to continue to ensure, compliance by the bidder and its officers, employees and Business Intermediaries (for the time being) with all Anti-Corruption Laws.
- 11.5 The bidder irrevocably consents to the Trust and/or its agents doing a background check on the bidder and its officers, including the request for any information available on the bidder from any relevant institution, agency, bureaux or body.
- **11.6** Bidders shall not seek assistance in any manner from the employees of the Trust in the Bid process.
- **11.7** Bidders shall not collude or engage in activities which may have an effect on the outcome of the tendering process.

12. CONFIDENTIALITY

- **12.1** Any information relating to the submissions, through the RFP process or otherwise shall be treated in strict confidence by the Trust, subject to applicable law or unless such information is in the public domain.
- **12.2** The bidders shall also keep all information shared with them by the Trust confidential.

13. PAYMENT STRUCTURE

13.1 The Trust terms of payment are thirty (30) days, after submission of valid claims for work done to its satisfaction upon presentation of a substantiated claim / tax invoice.



13.2 Payments will only be made based on the work completed (milestones/ deliverables achieved) as per the project implementation plan to be agreed at the inception of the project.

14. GENERAL

- 14.1 It is important to note that the successful bidder will work under the supervision of the Trust's representative, abide by the Trust's Code of Conduct and any other organisational guidelines as may be prescribed by the Trust from time to time.
- 14.2 The following documents are to be submitted as part of responding to this RFP:
 - Proof of company registration (if applying as a company);
 - Proof of Tax compliance (SARS Tax pin);
 - B-BBEE certificate/ status and valid and Original or Certified Copy of B-BBEE Status Level Verification Certificates issued by the following agencies SANAS, IRBA or CCA for companies with a total turnover of R50 million and above, or an affidavit obtainable from Department of Industry (DTI) website in the case of EMEs or QSEs
 - Annual Financial Statements for the past 3 years
 - Certified copy of ID and professional institution's registration certificate(s) (for an individual, inclusive of a CV and track record of the individual.

15. CONTACT DETAILS FOR INFORMATION

- **15.1** Further information regarding technical/design matters can be sent via email to: **Joseph Kock on joseph@sioc-cdt.co.za**
- **15.2** Further information regarding supply chain matters can be sent via email to: Email: scm@sioc-cdt.co.za cc kabelo.letsoalo@sioc-cdt.co.za

16. SUBMISSIONS OF PROPOSALS

- Proposals, with portfolio/previous work, as indicated above, should be submitted to the below on or before 17 December 2024 by no later than 12:00 to scm@sioc-cdt.co.za cc kabelo.letsoalo@sioc-cdt.co.za
- **16.2** Clearly indicate the name of the proposal when submitting the proposal:

RFP: PANEL FOR BUILT ENVIRONMENT PROFESSIONALS / PROJECT, CONSTRUCTION, CONTRACT MANAGERS AND CONTRACTOR DEVELOPERS FOR A PERIOD OF FIVE YEARS

If you are not contacted within 28 (twenty-eight) days after the deadline indicated in the RFP, submission of your proposal, then the proposal shall be deemed to have been unsuccessful.