

SIOC  
**COMMUNITY  
DEVELOPMENT  
TRUST**

Defining ourselves through **our actions**,  
not our words

REMOVAL AND REPLACEMENT OF  
ASBESTOS ROOFS IN POSTDENE  
**CLOSING DATE: 25 July 2023 @ 12h00 Noon**



<https://sioc-cdtethicsdefender.com/>

**ETHICS DEFENDER**  
Expose wrongdoing safely



DO YOU KNOW ABOUT FRAUD OR UNETHICAL BEHAVIOUR IN  
THE WORKPLACE? PLEASE SCAN THE QR CODE TO REPORT

## 1. WHO WE ARE

SIOC Community Development Trust (SIOC-CDT) was established in 2006 by Sishen Iron Ore Company (SIOC) to invest in the development of the communities in which SIOC operates. The Trust focuses its public benefit activities primarily in communities adjacent to the SIOC (PTY) LTD mining activities in the Northern Cape and Thabazimbi Local Municipality (in the Limpopo Province). The Trust has invested significantly in community development projects aimed at ensuring sustainability of communities beyond mining operations.

These beneficiary communities are located within the following municipal jurisdictions:

- Gamagara Local Municipality, Northern Cape;
- Ga-Segonyana Local Municipality, Northern Cape;
- Tsantsabane Local Municipality, Northern Cape;
- Joe Morolong Local Municipality, Northern Cape; and
- Thabazimbi Local Municipality, Limpopo.

The Trust's activities are guided by a Community Development Strategy that advocates for healthy communities as well as inclusiveness or levelling the playing field for vulnerable members of society. It also places the dignity of beneficiaries at the front of work done.

## 2. MANDATE

The Trust's mandate is to invest and disburse funds on behalf of its beneficiary communities in accordance with the guidelines as provided by the Board of Trustees and prescribed by the SIOC-CDT Trust Deed.

## 3. VISION

Beneficiary communities have sustainable household income and are empowered to thrive beyond the lifespan of the mine.

## 4. MISSION

Implement sustainable socio-economic initiatives through critical partnerships with key stakeholders.

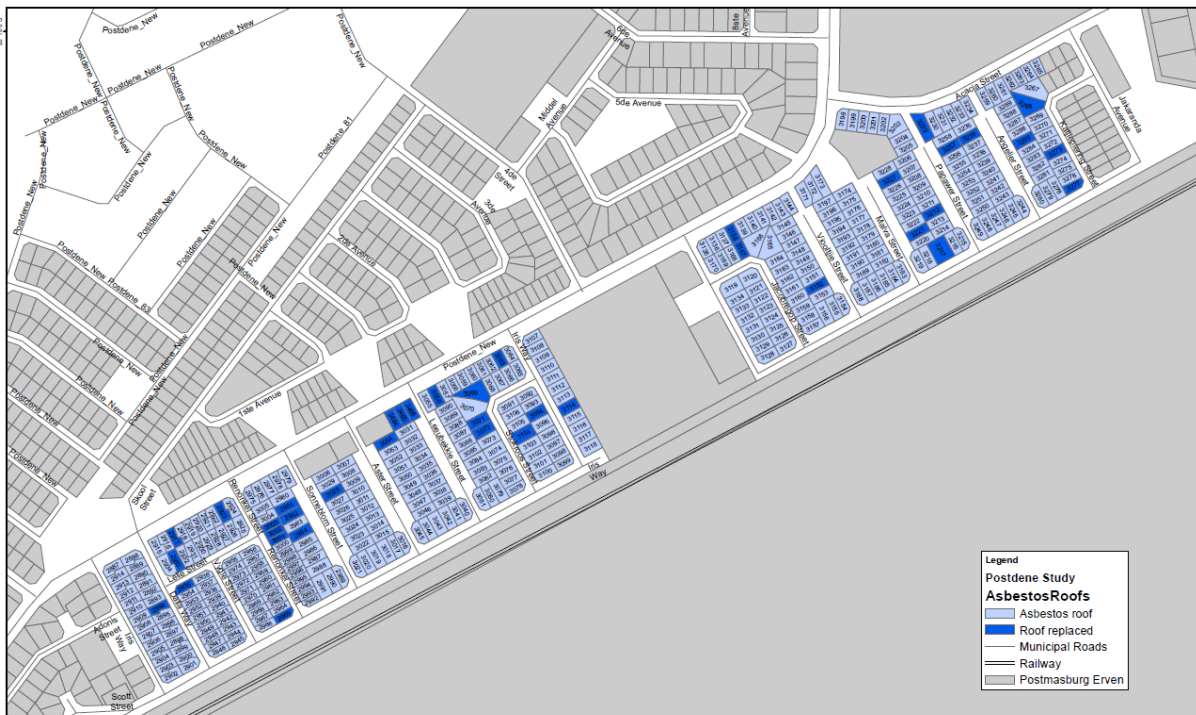
## 5. VALUES

- We are committed to building **healthy trustworthy relationships**,
- We are **inclusive and transparent** in decision making,]
- We are **accountable** to our stakeholders,
- We are **compassionate** towards our beneficiaries.

## 6. PROJECT BACKGROUND

The community of Postdene in Tsantsabane is one of the few, among SIOC-CDT beneficiary communities, that still has large quantities of asbestos (Postdene is estimated to have 21000sqm) roof material despite the dangers it poses to health especially of occupants of houses affected. It is believed to be the major cause of asbestosis, explaining the banning of manufacturing, use, import and export of asbestos and asbestos containing materials in South Africa.

Sometime in 2021 SIOC-CDT commissioned a study which saw engineering consultants visiting Postdene on a fact-finding mission to establish the extent of prevalence of asbestosis together with the number of households affected. This study included liaison with beneficiaries and the Tsantsabane Local Municipality. A total of 366 houses are confirmed to still have asbestos roofing material which need to be replaced. An additional 33 houses that used to have asbestos prior to 2021 have since replaced own roofs but in the interests of providing dignity SIOC-CDT would like to ensure that work on those roofs is done according to best practice.



The Trust therefore invites experienced and capable companies with Engineering, Procurement and Construction (EPC) experience and capacity (CIDB Grade 8 and above) to show their interest and demonstrate how they would go about planning and quickly execute in a manner that considers the sensitivity of keeping families outside of their homes particularly given that most are elderly and vulnerable.

## **7. SCOPE OF WORK AND PROJECT DELIVERABLES**

### **7.1 Engineering, designing, costing, monitoring, health, safety and environmental professional services required at Stage 3—6 including but not limited to the following**

- Confirmation of conceptual designs
- Preparation of, in consultation with the Client, detailed design as required by a qualified architect,
- Appropriate end to end monitoring of project by a qualified Project and Construction Manager
- Appropriate project closeout

### **7.2 Construction related activities including but not limited to:**

- Establishment of alternative accommodation and relocating households,
- Careful removal and disposal of Asbestos roofing material on approximately 366 houses in Postdene in line with environmental and safety legislation,
- Replacement of roofs with alternative material, including installation of new ceiling and roof insulation,
- Plastering of internal walls where required,
- Repainting of houses inside and outside as required,
- Reworking the plumbing in each of the 399 houses including issuing of Certificates of Compliance (CoCs),
- Reworking the electrical system in the 399 houses and issuing of CoCs,
- Installation of 100/150 litre high pressure solar geysers and issuing of CoCs

### **7.3 Health, safety, environmental and local economic development:**

- Devise a Health, Safety and Environmental plan and guideline for approval by client together with monitoring of practices to ensure adherence with the law and prevent accidents. This includes submission of a Health and safety plan for approval by the client as well as adherence to such plan,
- Submission of a Stakeholder Engagement (social facilitation) plan for approval by the client as well as ensuring all issues are timeously addressed to avoid flare ups
- Develop and submit a Local Empowerment plan for approval by the client as well as subsequent adherence to the approved plan,

## **8. ASSESSMENT/EVALUATION CRITERIA**

### **8.1 Pre-Qualifying Criteria**

8.1.1 Only submissions accompanied by a valid Tax Pin or Tax Clearance Certificate will be assessed.

### **8.2 Technical Evaluation of EPC contractors**

8.2.1 In order to facilitate a transparent selection process that allows for an equal opportunity to all bidders, SIOC-CDT has a Supply Chain Management policy that will be adhered to. Submissions will be technically evaluated in terms of the prevailing Supply Chain Management policy applicable to SIOC-CDT, from time to time.

- 8.2.2 Only those contractors who score the minimum score in respect of the quality criteria as stated below shall be considered responsive and have their submissions evaluated further.

The submissions will be evaluated based on the list of criteria defined below and in the specific sequence. A tender which fails to meet any one criterion will not be considered in subsequent evaluations.

**A) Scoring quality:**

The technical functionality (quality) evaluation criteria are listed below. Maximum points for each criterion are in **bold**.

The tenderer is to submit information in respect of the criteria laid out below upon which they will be scored for technical functionality. Failure to submit the relevant information will result in **zero scores. Submissions with a score of less than 70% (70 out of 100 points) will be rejected as non-responsive.**

**TABLE A: COMPANY’S RESOURCES**

Description	Maximum points scored
Company Organogram (5 points)	
<b>Maximum total points scored</b>	<b>/5</b>

**TABLE B: KEY PERSONNEL: EXPERIENCE OF KEY PERSONEL**

No	Position	Experience		
		6+ Years	2 – 5 Years	0 - 1 Years
		<b>5 points</b>	<b>4 points</b>	<b>0 points</b>
1	Construction Manager			
2	Site Manager			
3	General Foreman			
4	Professional Architect			
5	Professional Structural Engineer			
6	Health and Safety Officer			
7	Environmental Consultant			
8	Social Facilitator			
<b>Maximum total points scored</b>		<b>/35</b>		

Verifiable proof of the above must be submitted or a score of zero points will be applied. Include CV’s and certified qualifications. Key personnel must correspond to the Organogram of the project team. **Only show experience relevant to the position of the key personnel.**

**TABLE C: KEY PERSONNEL: QUALIFICATIONS OF KEY PERSONNEL**

No.	Position	Qualification		
		BTech/BSc/BEng	National Diploma / Equivalent NQF	No qualification
		5 points	3 points	0 points
1	Construction Manager (5 points)			
2	Site Manager (5 points)			
3	Health and Safety Officer (5 points)			
4	Professional Architect (5 points)			
5	Professional Structural Engineer (5 points)			
6	Environmental Consultant (5 points)			
<b>Maximum total points scored</b>		/30		

Verifiable proof of the above must be submitted or a score of zero points will be applied. Include CV's and certified qualifications. Key personnel must correspond to the Organogram of the project team. **Only show experience relevant to the position of the key personnel.**

**TABLE D: SCHEDULE OF WORK SATISFACTORILY COMPLETED BY THE TENDERER FOR PRIVATE CLIENTS OR ORGANIZATION OF STATE ON AN EPC CONTRACT WITH A RELEVANT/SIMILAR CONTRACT VALUE/ABOVE**

Similar EPC projects with an individual contract value equal to or greater than R120,000,000	Maximum points scored
1 x Relevant/Similar project (10 points)	
2 x Relevant/Similar project (20 points)	
3 x Relevant/Similar project (30 points)	
<b>Maximum total points scored</b>	/30

Verifiable proof of the above must be submitted or a score of zero points will be applied.

List of similar projects in the last 5 financial years

Note: The listed projects should match the number provided on Table D above

**SUMMARY (MAXIMUM 100 POINTS)**

Table no.	TECHNICAL FUNCTIONALITY CRITERIA	Maximum points scored
A	Company Resources (Table A)	/5
B	Experience of Key Personnel (Table B)	/35
C	Qualifications of Key Personnel (Table C)	/30
D	Schedule of work satisfactorily completed (Table D)	/30
	<b>Score obtained</b>	<b>/100</b>

*A score of 70 out of 100 points must be achieved, if not, the tenderer is disqualified.*

**B) Financial functionality:**

The financial evaluation criteria are listed below. Maximum points for each criterion are in **bold**.

The tenderer is to submit information in respect of the criteria laid out below upon which they will be scored for financial functionality. Failure to submit the relevant information will result in **zero** scores. **Tenderers with a score of less than 80% (80 out of 100 points) will be rejected as non-responsive.**

**TABLE A: HISTORICALLY DISADVANTAGED SOUTH AFRICAN (HDSA) STATUS**

Description	Maximum points scored
Historically Disadvantaged Person (HDP) Owned & Controlled (20 Points)	
Woman Owned & Controlled (20 points)	
Youth Owned & Controlled (20 points)	
BBBEE Compliant (10 points)	
<b>Maximum total points scored</b>	<b>/20</b>

**TABLE B: COMPLETENESS OF BID**

Description	Maximum points scored
Tender documents and schedules are completed in full (10 Points)	
Tender documents and schedules are incomplete (0 Points)	
<b>Maximum total points scored</b>	<b>/10</b>

**TABLE C: AUDITED FINANCIAL STATEMENTS ANALYSIS**

Description	Maximum points scored
Positive Current Ratio, Operating Profit Margin and Cashflow Statement (50 Points)	
Negative Current Ratio, Operating Profit Margin and Cashflow Statement (0 Points)	
<b>Maximum total points scored</b>	<b>/50</b>

Note: The financial statements analysis will be conducted on **three years audited financials reports** to be submitted by the contractor.

**TABLE D: ORDER BOOK INCLUDING THIS APPOINTMENT ANALYSIS**

Description	Maximum points scored
Order book including this appointment Less than R 260 000 000 (20 Points)	
Order book including this appointment – More than R 260 000 000 up to R 300 000 000 (10 Points)	
Order book including this appointment – More than R 300 000 000 upwards (0 Point)	
<b>Maximum total points scored</b>	<b>/20</b>

**SUMMARY (MAXIMUM 100 POINTS)**

Table no.	FINANCIAL FUNCTIONALITY CRITERIA	Maximum points scored
A	Historically Disadvantaged South Africans – HDSA (Table A)	/20
B	Completeness of Bid (Table B)	/10
C	Audited Financial Statements Analysis (Table C)	/50
D	Order Book Including This Appointment (Table D)	/20
	<b>Score obtained</b>	<b>/100</b>

*A score of 70 out of 100 points must be achieved, if not, the tenderer is disqualified.*

**C) Social Performance Commitment:**

The social performance evaluation criteria are listed below. Maximum points for each criterion are in **bold**.

The tenderer is to submit information in respect of the criteria laid out below upon which they will be scored for social performance commitment. Failure to submit the relevant information will result in **zero scores**. **Tenderers with a score of less than 70% (140 out of 200 points) will be rejected as non-responsive.**

*KPI 1 = Local Employment (MUST be 100% of Contract Amount)*

*KPI 2 = Host Community Procurement (At least 30% of Contract Amount)*

*KPI 3 = Skills Development (At least 1% of Contract Amount)*

*KPI 4 = Corporate Social Investment (CSI) (At least 1% of Contract Amount)*

**TABLE A: KPI 1 – LOCAL EMPLOYMENT**



Description	Maximum points scored
KPI1 = 75% to 100% (100 Points)	
KPI1 = Less than 75% (70 Points)	
KPI 1 = 50% to 75% (50 Points)	
KPI 1 = 30% to 50% (30Points)	
KPI 1 = below 30% (0 Points)	
<b>Maximum total points scored</b>	<b>/100</b>

Note: The analysis will be conducted based on the submission of the bidders in relation to their Local Employment commitment.

**TABLE B: KPI 2 – HOST COMMUNITY PROCUREMENT**

Description	Maximum points scored
KPI 2 = 30% or more (60 Points)	
KPI 2 = Less than 30% (0 Points)	
<b>Maximum total points scored</b>	<b>/60</b>

Note: The analysis will be conducted based on the submission of the bidders in relation to their Host Community Procurement commitment.

**TABLE C: KPI 3 – SKILLS DEVELOPMENT**

Description	Maximum points scored
KPI 3 = 1% or more (20 Points)	
KPI 3 = Less than 1% (0 Points)	
<b>Maximum total points scored</b>	<b>/20</b>

Note: The analysis will be conducted based on the submission of the bidders in relation to their social performance commitment for the project.

**TABLE D: KPI 4 – CORPORATE SOCIAL INVESTMENT (CSI)**

Description	Maximum points scored
KPI 4 = 1% or more (20 Points)	
KPI 4 = Less than 1% (0 Points)	
<b>Maximum total points scored</b>	<b>/20</b>

Note: The analysis will be conducted based on the submission of the bidders in relation to their Corporate Social Investment commitment

**SUMMARY (MAXIMUM 100 POINTS)**

<b>Table no.</b>	<b>SOCIAL PERFORMANCE COMMITMENT CRITERIA</b>	<b>Maximum points scored</b>
A	KPI 1 (Table A)	/100
B	KPI 2 (Table B)	/60
C	KPI 3 (Table C)	/20
D	KPI 4 (Table D)	/20
	<b>Score obtained</b>	<b>/200</b>

A score of 140 out of 200 points must be achieved, if not, the tenderer is disqualified.

**9. INSTRUCTIONS TO BIDDERS**

9.1 Submissions are to ensure that they have adequate resources to undertake the project under stringent timeframes. It is accordingly recorded that by making a submission, the bidder undertakes and warrants that it has adequate resources, skills and know-how to undertake the completion of the project under the timeframes set out therefore.

**10. PRICING**

10.1 Shortlisted bidders will be further invited to price the scope of work, the pricing of which should be based on the required scope of work.

**11. TERMS AND CONDITIONS**

11.1 This invitation shall remain valid until the Closing Date, following which the invitation shall automatically lapse. SIOC-CDT shall be under no obligation to consider any proposals submitted after the Closing Date. SIOC-CDT reserves the right, under exceptional circumstances, to extend the closing date for bidders to submit proposals.

11.2 The bidder acknowledges that this RFI constitutes an invitation to submit expressions of interest and that a submission of subsequent Request for proposals by shortlisted EPC contractors shall constitute an offer which SIOC-CDT, shall in its sole and absolute discretion, notwithstanding anything to the contrary herein contained, be entitled to accept or reject. Only on the date of acceptance will a valid agreement be constituted between SIOC-CDT and the bidder in relation to the project.

11.3 The selection of the qualifying bid (proposals) will be at SIOC-CDT's sole discretion and SIOC-CDT reserves the right not to appoint and shall not necessarily be obliged to accept the offer of the highest scoring or most affordable quotation/proposal in accordance with the Supply Chain Management policy or otherwise.

11.4 The shortlisting and adjudication processes does not represent a commitment on the part of the SIOC-CDT to proceed further with that quotation/proposal of the bidder or of any other bidder.

- 11.5 SIOC-CDT reserves the right to make changes on this RFI document. All changes will be communicated to those firms that have responded to this RFI. No reliance shall be placed on other information or comment from any other person.
- 11.6 SIOC-CDT shall not be required to provide reasons for the acceptance or rejection of any submission/quotation/proposal and no correspondence pertaining to submissions will be entertained.
- 11.7 If SIOC-CDT does not accept any submission/quotation/proposal, it will declare this RFI call process closed and may then elect to:
- Not to appoint any bidder; and/or
  - Proceed on a completely different basis.
- 11.8 All submissions and all subsequent information received from bidders will not be returned, nor shall SIOC-CDT be responsible for the safe keeping of submissions or the keeping of records or copies in relation thereto.
- 11.9 SIOC-CDT will not accept any responsibility for costs incurred by bidders in preparing and submitting proposals.
- 11.10 SIOC-CDT reserves the right to engage in a process to validate all submissions or claims made in the proposal.
- 11.11 In addition to the provisions of clause 12 below, it is recorded that SIOC-CDT reserves the right to reject a submission/quotation/proposal or cancel the award of the project, if it is determined (in the sole discretion of SIOC-CDT) that the supplier/service provider recommended for award, has engaged in or is associated with corrupt or fraudulent activities (as defined in clause 12 below).

## **12. CORRUPT AND FRAUDULENT ACTIVITIES**

- 12.1 A key to the ethos of SIOC-CDT are the principles of transparency and ethics in its dealings with service providers and suppliers, to guard not only against reputational harm but also the tainting of the projects undertaken in accordance with the mission and object of SIOC-CDT.
- 12.2 Accordingly, the bidder, by submitting a proposal/quotation to SIOC-CDT hereby warrants and undertakes that the bidder and its current and former officers and employees:
- 12.2.1 have not been found guilty of any criminal offence involving fraud, theft and/or corruption or any other fraudulent practice, which for purposes hereof shall include a misrepresentation of facts in order to influence the selection process or the execution of a contract or collusive practices among bidders/contractors/EPC contractors (prior to or after submission of proposals) designed to establish prices at artificial, non-competitive levels and contrary to the competition laws of the Republic of South Africa (collectively “Corrupt and Fraudulent Activities”); and

- 12.2.2 have at all times complied with all applicable anti bribery and anti-corruption laws (including any which have extra-territorial effect) and codes of practice ("Anti-Corruption Laws").
- 12.3 To the best of the bidder's knowledge and belief, each agent, representative or third-party that is or was authorised to act on behalf of the bidder ("Business Intermediary") has conducted its business relating to the bidder and past projects in compliance with all Anti-Corruption Laws.
- 12.4 The bidder has instituted and maintained appropriate policies and procedures designed to ensure, and which are reasonably expected to continue to ensure, compliance by the bidder and its officers, employees and Business Intermediaries (for the time being) with all Anti-Corruption Laws.
- 12.5 The bidder irrevocably consents to SIOC-CDT and/or its agents doing a background check on the bidder and its officers, including the request for any information available on the bidder from any relevant institution, agency, bureaux or body.

### **13. CONFIDENTIALITY**

- 13.1 Any information relating to the submissions, through the RFI/RFP process or otherwise shall be treated in strict confidence by SIOC-CDT.

### **14. PAYMENT STRUCTURE**

- 14.1 SIOC-CDT undertakes to pay in full within thirty (30) days, all valid claims for work done to its satisfaction upon presentation of a substantiated claim/invoice.
- 14.2 Payments will only be made based on the work completed (milestones/ deliverables achieved) as per the project implementation plan to be agreed at the inception of the project.

### **15. GENERAL**

- 15.1 Below are the compulsory requirements for this service:
- a. Company Profile which includes brief background and history of the organisation, company structure (organogram), resources, contact details including physical location and other relevant information.
  - b. CIDB Grading 8 GB/Above (upload certificate).
  - c. Previous experience in EPC contracts.
  - d. Asbestos Removal Certification/ Registration as an Asbestos contractor.
  - e. Valid trading Company Registration Documents (upload certificate).
  - f. Valid BBBEE Certificate (upload certificate).
  - g. Valid Tax Clearance certificate and/or Pin (upload certificate).

- h. Proof of company address (upload)
- i. ID copies of directors.
- j. Bank Confirmation Letter (not older than 3 months) (upload).
- k. 3 (Three) Years Audited Financial Statements.
- l. Certified copies of Share certificated where applicable (upload).
- m. Letter of good standing.
- n. Company Health and Safety policy and procedures.
- o. Proof of past experience in the delivery of asbestos removal work with contactable references.
- p. Project Organogram
- q. CVs for people proposed for key positions.

## **16. CONTACT DETAILS FOR INFORMATION**

- 16.1 Further information regarding technical/design matters can be sent via email to:  
Joseph Kock on [joseph.kock@sioc-cdt.co.za](mailto:joseph.kock@sioc-cdt.co.za).
- 16.2 Further information regarding supply chain matters can be sent via email to:  
Email: [scm@sioc-cdt.co.za](mailto:scm@sioc-cdt.co.za).

## **17. SUBMISSIONS OF EXPRESSIONS OF INTEREST**

- 17.1 Submissions, with portfolio/previous work, as indicated above, should be uploaded electronically onto <https://www.sioc-cdtsmmes.co.za> on or before the 25<sup>th</sup> July 2023 by no later than 12:00 (noon).
- 17.2 Clearly indicate the name of the proposal when submitting the proposal:  
RFI: **Removal and replacement of asbestos roofs in Postdene.**
- 17.3 If you are not contacted within 10 days after submission, then the submission shall be deemed to have been unsuccessful.